

**Terms of Reference for the regional-level midterm evaluation of
Gender Transformative & Responsible Agribusiness Investments in South East
Asia (GRAISEA) Phase 2**

Name of programme	Gender Transformative & Responsible Agribusiness Investments in South East Asia Phase 2 (GRAISEA 2)
Name of organisation	Oxfam affiliates Oxfam GB (lead), Oxfam Novib, Oxfam US and Oxfam Sweden
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In cooperation with the following	Regional partners: Asian Seafood Improvement Collaborative (ASIC) Business & Human Rights Resource Centre (BHRRRC) CSR-Asia (CSRA) Institute of Social Entrepreneurship in Asia (ISEA) Philippine Women’s Economic Network (PhilWEN) Weaving Women’s Voices in ASEAN (WEAVE) National partners in Cambodia, Indonesia, Pakistan and Philippines, Vietnam
Country/region	Pakistan, ASEAN regional bodies and 4 of its member countries: Cambodia, Indonesia, Philippines, Vietnam
Funder	Major funder: The Embassy of Sweden in Bangkok Co-finance Private Sector: Axfod RolRyz Unil Axfoundation Axfod & Axfoundation SanoRice Ut Xi Company South Vina Shrimp Joint Stock Company Minh Phu Joint Stock Company GENTRACO Bo De company Hong Tan Food Lotus Rice
Timeframe	01.08.2018 –31.07.2021 (total of 36 months) *with a potential extension of 2 years until 31.07.2023

EVALUATION OVERVIEW

The GRAISEA 2 Programme (1 Aug 2018 – 31 July 2021) is a joint initiative led by Oxfam GB, Oxfam Novib, Oxfam US and Oxfam Sweden, with a total budget of USD 9.7 million. The programme operates at regional level and in four countries in the Southeast Asia (Cambodia, Indonesia, Vietnam and Philippines) and Pakistan.

Drawing on progress and lessons from the first phase, GRAISEA 2 aims to transform opportunities for marginalised women and men in the agriculture sector in Asia by acting as a catalyst for the emergence of inclusive value chains and responsible business practices that respect human rights and drive women’s economic empowerment and climate resilience.

With a potential extension of the programme for two years until 31 July 2023, the GRAISEA Programme Management Unit (PMU), with country teams, regional partners and Oxfam advisors are planning an external midterm evaluation, which will include four country-level evaluations in Cambodia, Indonesia, Vietnam and Pakistan and a regional-level evaluation.

The purposes

1. To strengthen, validate and analyse evidence collected in the Midline Outcome Report produced by Oxfam and partners for Result 2 and Result 3;
2. To review results achieved so far and how the programme contributed to changes at national and regional levels;
3. To facilitate learning for better programming and make recommendations for the next phase of the programme.

The results achieved among market actors (Result 2) and government policies at national and regional levels (Result 3) will be the focus of the evaluation (as framed in yellow in below Figure 1: GRAISEA 2 Result Framework). The evaluation will also look at whether and how the changes in market actors (Result 2) has brought about changes among smallholder farmers (Result 1).

The midterm evaluation will be split into two parts –

		Scope	Budget & Management	Time
Part 1	Country-level evaluations by consultants in Cambodia, Indonesia, Pakistan and Vietnam respectively	At country level: Outcome 2.1 Outcome 2.2 Outcome 2.3 Outcome 3.1	Oxfam Country teams	March to May 2021
Part 2	Regional-level evaluation by an international/ regional consultant or consultancy team	At regional and global levels: Outcome 2.1 Outcome 2.3 Outcome 3.2 + Outcome 3.1 in the Philippines + synthesis of all country-level evaluations	Programme PMU	March to June 2021

This Terms of Reference (ToR) focuses on the regional-level evaluation (Part 2). The PMU is recruiting

an evaluation and learning consultant (or team) to lead **the regional-level evaluation**, which will include design of data collection tools (for both regional-level and country-level evaluations – the data collection tools for the country-level evaluations will be for the use of the four country-level consultants managed by Oxfam), data collection in the Philippines and ASEAN bodies, with the regional partners, regional and global MSIs and private sector the programme engaged, and production of a final report to be presented to the PMU and main stakeholders.

A CV of the consultant(s) and a one-page expression of interest detailing relevant experience and how you meet the selection criteria should be submitted to HR (hr_thailand@oxfam.org.uk) by 3 March 2021. Submissions should also present a 2-3 pager technical proposal, including proposed budget detailing daily rate, main foreseen expenses, and VAT.

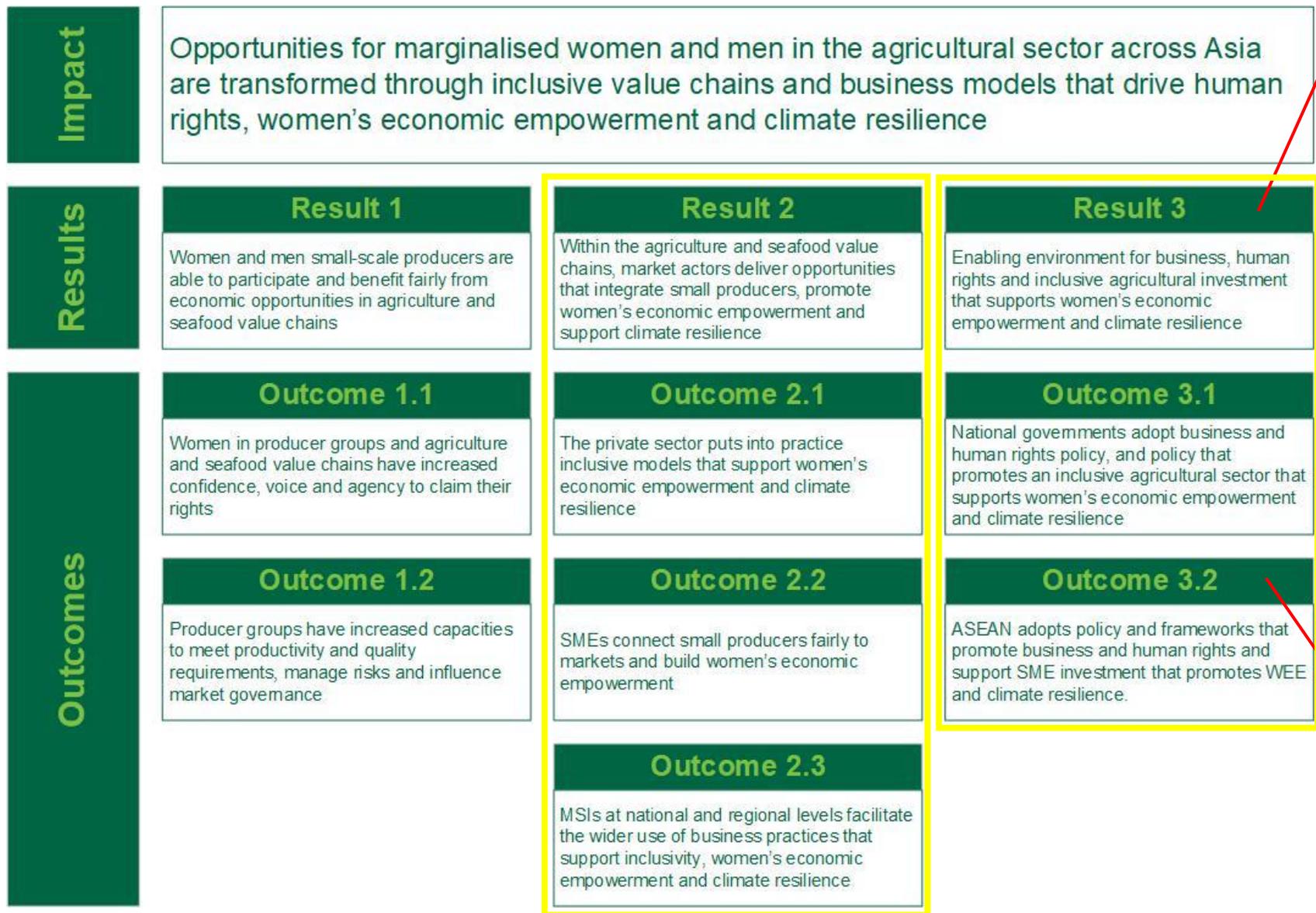
Interviews will be conducted *online*, the date is to be confirmed.

1. SCOPE OF EVALUATION

While the different outcome and result areas of the programme are interlinked, **the regional-level evaluation** will focus on

Outcome ¹	Contents	Implementing Partner(s) if any
Result 2		
Outcome 2.1	Regional and global private sector engagement for inclusive business in the agriculture sector	<ul style="list-style-type: none"> • CSR Asia (CSRA) • Asian Seafood Improvement Collaborative (ASIC)
Outcome 2.3	Regional and global MSIs engagement (i.e., Sustainable Rice Platform (SRP) and ASIC)	<ul style="list-style-type: none"> • ASIC
Result 3		
Outcome 3.1	National policy engagement on Business and Human Right and Inclusive Business in the Philippines	<ul style="list-style-type: none"> • The Initiatives for Dialogue and Empowerment through Alternative Legal Services (IDEALS)
Outcome 3.2	Regional policy engagement on Business and Human Rights, Inclusive Business and Women Economic Empowerment (WEE) in The Association of Southeast Asian Nations (ASEAN)	<ul style="list-style-type: none"> • Business & Human Rights Resource Centre (BHRRRC) • Institute of Social Entrepreneurship in Asia (ISEA) • Philippine Women's Economic Network (PhilWEN) • Weaving Women's Voices in ASEAN (WEAVE)
Result 1, 2, 3	Synthesis of all country-level evaluations, drawing conclusions on the results achieved among market actors (Result 2) and government policies and frameworks at national and regional levels (Result 3), and whether and how the changes in market actors (Result 2) has brought about changes among smallholder farmers (Result 1)	

¹ Refer to Figure 1: GRAISEA 2 Result Framework for the impact, result and outcome statements



R3: (Specified after inception for regional)
The ASEAN Economic Community (AEC) adopts policy recommendations that will promote WEE and IB.

O3.2: (Adapted after inception) The (AEC) considers policy recommendations on/ takes positive actions to promote, WEE and IB.

Figure 1: GRAISEA 2 Result Framework

Evaluation questions and indicators

The midterm evaluation will respond to the following questions and data and information will be collected for but not limited to the respective indicators. For the data and information that is already available in the Midline Outcome Report², the evaluation will substantiate the claims. The 2nd and 3rd columns indicate whether the questions apply to country or regional-level evaluations or both.

Evaluation Question	Country-level evaluations ³	Regional-level evaluation ⁴	Indicator <i>(see index below)</i>	Data available in Midline Outcome Report
Result 2: Within the agriculture and seafood value chain, market actors deliver opportunities that integrate small producers, promote WEE and CCR				
1. (effectiveness) Is there evidence of new and/ or improved business initiatives (policies, practices, guidelines, principles) that support Women Economic Empowerment (WEE) and/or Climate Change Resilience (CCR)?	Y	Y	2.1A, 2.1C, 2.2B	Partly
2. (impact & relevance) Is there evidence that the new and/ or improved business policies and practices enabled women and men small-scale producers to participate and benefit fairly from economic opportunities?	Y		1A, 2B	Partly
3. (sustainability) What are the incentives for the private sector partners in GRAISEA to support WEE, CCR & Inclusive Business (IB)? Is there evidence of business case (business benefits) for the companies to support WEE, CCR & IB?	Y	Y	2A, 2.1B	

² The Midline Outcome Report will be provided to the consultants.

³ Regional consultant(s) will be responsible for designing the data collection tools, synthesis of findings from the country-level evaluations and draw conclusions at programme level for the regional report.

⁴ Regional consultant(s) will be responsible for designing the data collection tools, data collection and analysis and report writing.

4. (relevance) How the private sector partners assess/understand GRAISEA's contribution to the business case?	Y	Y		
5. What are the most common barriers for the private sector partners and GRAISEA programme to building the business case? How were the barriers addressed?	Y	Y		
6. (effectiveness) Is there evidence of MSIs at national, regional and global levels bringing about wider use of business practices that support inclusivity, WEE and climate resilience?	Y	Y	2.3A, 2.3B, 2.3C	Partly
<u>Result 3: Enabling environment for business, human rights and inclusive agricultural investment that supports WEE and CCR</u>				
7. (effectiveness) Is there evidence of new and/ or improved policies of national governments/ASEAN that promote an inclusive agricultural sector that supports Business and Human Rights (BHR), Micro, Small, and Medium Enterprises (MSME), WEE and CCR?	Y	Y	3A	
8. (relevance) To what extent do the contents of the new and/ or improved policies promote an inclusive agricultural sector that supports BHR, MSME, WEE and CCR?	Y	Y	3B	
9. (impact) Is there evidence that the influencing process and new and/ or improved policies have enhanced human rights, women economic empowerment and climate resilience among the marginalised women and men in the agriculture sector?	Y	Y		
10. (effectiveness) Is there adequate evidence to support the supportive attitude and action taken by national governments/ ASEAN and related bodies officials/decision makers in response to influencing for inclusive agri-business?	Y	Y	3.1A, 3.1B, 3.2A, 3.2B	Partly
11. (relevance) How the key stakeholders (policy makers, influencers, allies, marginalised women) assess/understand GRAISEA's contribution to the supportive attitudes, actions and/ or policy improvements?	Y	Y		
Cross-cutting questions				

12. Are there any unexpected or unintended outcomes, positive or negative?	Y	Y		
13. What context factors, including Covid 19, are affecting GRAISEA influencing strategies and results?	Y	Y		
14. Is there evidence that the programme has facilitated regional integration in ways that are complementary and mutually reinforcing among countries and between national and regional influencing work? If yes, how?		Y		
15. How are the programme MEAL systems enabling adaptive decision making within the programme?		Y		
16. Are the PMU and GRAISEA program and advisor structure effective in supporting the GRAISEA program, country teams and regional partners?		Y		
17. How has the GRAISEA partnership approach and model contributed to or impeded programme results?	Y	Y		
18. What are some key recommendations for improvement for the next phase of programme? Especially in face of Covid 19, on engagement strategies and ways of working with the private sector.	Y	Y		

List of Indicators:

Result 1

1A. Perceptions of small scale producers/workers (disaggregated by sex) on the barriers to women's participation in economic opportunities (in relation to change in company practice)

Result 2

2A. Percentage of target companies/ SMEs that report improved benefits for the company from introducing inclusive business practices

2B. Perception of small scale producers/workers/suppliers (disaggregated by sex) on the inclusive practice of target companies

2.1.A Number (and percentage) of target companies adopting or improving inclusive business initiatives (policies, practices, guidelines, principles) that support WEE and/or CCR

- 2.1.B Perceptions of target companies of the viability of inclusive business models/initiatives and their ability to adopt them
- 2.1 C Perceptions (knowledge, awareness and attitude) of company/ SME management on their approaches to working with women small-scale producers
- 2.2.B Number (and percentage) of target SMEs adopting initiatives (policies, practices, guidelines, principles) that support WEE and/or improved business performance.
- 2.3.A Number and type of adjustments to shrimp or rice standards of Multi-stakeholder initiatives (MSIs) that integrate CCR/ WEE/ inclusive business practice
- 2.3.B Perceptions of company representatives on the effectiveness of MSIs in facilitating their business practice change.
- 2.3 C Number of companies that changed practices or policies in line with discussions raised/supported by an MSI (WEE/ CCR/ inclusive practice changes)

Result 3

- 3A. Number of policies adopted/improved by national governments/ASEAN that promote an inclusive agricultural sector that supports BHR, MSME, WEE and CC
- 3B. % of adopted/ improved policies that clearly demonstrate an understanding of how women/ environment will be affected by the policy improvement (and extent of understanding)
- 3.1.A Number of action (e.g. publicly support, officially endorsed policy recommendations, review existing plan etc.) taken by ASEAN and related bodies officials/decision makers in response to influencing for inclusive agri-business that supports WEE and climate resilience (and the perceived contributing factors)
- 3.1 B Number of policy-makers rated as 'supportive or very supportive' of business and human rights policy proposals that promote inclusive agricultural sector that supports WEE and/or climate resilience (and reasons for support and not support)
- 3.1.C Number of organizations involved in coalition supporting the target policy
- 3.2.A Number of action (e.g. publicly support, officially endorsed policy recommendations, review existing plan etc.) taken by ASEAN and related bodies officials/decision makers in response to influencing for inclusive agri-business that supports WEE and climate resilience (and the perceived contributing factors)
- 3.2.B Number of policy-makers rated as 'supportive or very supportive' of business and human rights policy proposals that promote inclusive agricultural sector that supports WEE and/or climate resilience (and reasons for support and not support)

2. SCOPE OF WORK

The assignment for the regional consultant(s)/ consultancy team will include design of data collection methods and tools, data collection in the Philippines and via online channels and production of a final report. The consultant will also be responsible for synthesizing the findings from the four country-level evaluations in the final report of the regional-level evaluation.

The assignment is expected to take a maximum of 40 days spread within a period of 14 weeks although payment is based on deliverables not number of days. It will commence in early to mid-March 2021, with data collection likely to take place in April and a final report to be presented in June 2021.

The consultant(s)/ consultancy team will be required to conduct the activities described below and will report directly to the evaluation management team in the PMU:

- Conduct a comprehensive review of programme documentation to understand the scope of the programme, identify key stakeholders for scope of evaluation and for the broad system and map secondary data sources and gaps;
- **Design data collection methods and tools for both regional-level and country-level evaluations**, based on local context, the secondary data and evaluation questions identified;
- Present the findings of the programme documentation review and data collection strategy with detailed timeframe for the evaluation, finalised data collection tools, list of stakeholders that will be involved in data collection, and draft proposal for the evaluation learning exercise in the form of **inception report** no more than 8 pages;
- Organise the logistical arrangements necessary to carry out field work⁵, including transport and accommodation for consultants and enumerators, as necessary. Proposals should outline where Oxfam should support on this, and the budget provided must include these costs.
- After feedback on the inception report, conduct data collection by telephone, online, face- to-face or further documentation review, according to the data collection strategy, with partner organisations' representatives, private sector's representatives, governments'' representatives, Oxfam staff, women and men smallholder farmers and other actors in the system involved in the GRAISEA programme;
- The consultants for regional-level evaluation will be also responsible for liaising with the country consultants responsible for the country-level evaluations, to incorporate the findings and learning into the Regional-level evaluation findings;
- Submit **draft report** for feedback from the evaluation management team;
- Based on the feedback from the evaluation management team, re-assess findings and, if necessary, conduct additional data collection to fill any outstanding information gaps;
- Present a **final evaluation report** that effectively documents the main findings referring to the evaluation questions and indicators, and propose recommendations based on them, with focus on improving programme design and implementation;
- Design and deliver an **online reflection and learning workshop** for the programme team based on the evaluation findings and recommendations;
- Attend weekly brief evaluation management check-ins online with the evaluation management team to follow-up on data collection schedules and ongoing problem-solving support.

⁵ If covid restrictions in countries allow field work, if not, remote data collection via online or telephone or local partners will be arranged.

3. TIMEFRAME

	2020					2021																											
	Dec					Jan				Feb				Mar				Apr				May				June				July			
	1st	2nc	3rd	4th	5th	1st	2nc	3rd	4th	1st	2nc	3rd	4th	1st	2nc	3rd	4th	1st	2nc	3rd	4th	1st	2nc	3rd	4th	1st	2nc	3rd	4th				
1. Evaluation Preparation																																	
Regional-level consultancy recruitment & logistics																																	
2. Evaluation Implementation																																	
Preparation with regional consultant(s)																																	
Regional consultant provides data collection tools for country-level and regional-level evaluations																																	
Regional-level evaluation data collection & join country learning exercises																																	
Regional-level data analysis & draft report (including synthesis of country findings)																																	
Regional/ Programme-level final report																																	
Regional/ Programme-level learning exercises																																	

4. EXPECTED DELIVERABLES

Expected Date for regional-level evaluation ⁶	Deliverable	Payment (%)
3rd week of March 2021	Inception report with data collection strategy & tools	20%
4 th week of May 2021	Draft evaluation report	35%
2 nd week of June 2021	Final evaluation report	35%
2 nd week of June 2021	Reflection and learning workshop	10%

5. KEY QUALIFICATIONS, SKILLS AND CAPABILITIES

The successful candidate/ team will be selected based on the following criteria:

- Demonstrable experience of producing high-quality, credible evaluations (samples required upon request at interview stage);
- **Demonstrable experience (at least 10 years) working with/evaluating private sector development and/or regional policy influencing work in South East Asia, especially in ASEAN countries;**
- Demonstrable experience (at least 8 years) of evaluating or supporting gender transformative programmes, especially in women's economic empowerment;
- Proven experience evaluating large-scale multi-country programmes;
- Fluency in English and relevant local language.
- Excellent writing and verbal communication skills in English and ability to write concise, readable and analytical reports.

6. BUDGET

Please indicate your proposed budget, including VAT, for the regional-level evaluation in the proposal. This budget should include the consultant's fee and taxes, any travel and expenses that might be required for data collection and any costs related to telephone or skype use, transcriptions, software licenses and office materials.

⁶ Exact dates to be defined with the consultant(s) (or team) in contract signing and preparation phase